

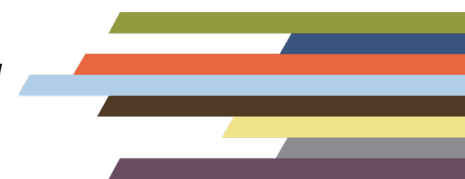


POLICY ANALYSIS WORKSHEET

The purpose of this worksheet is to help entities to identify where current and proposed regulations and policies are strong and where more specific prevention informed-approaches may be needed. This worksheet can be used as a supplemental tool in conjunction with the Northwest PTTC report, [Alcohol Regulatory Systems: Integrating Support for Public Health and Safety](#) and [Prevention Practitioners' Toolkit to Understanding HHS Region 10 State Cannabis Policies and Regulations](#).

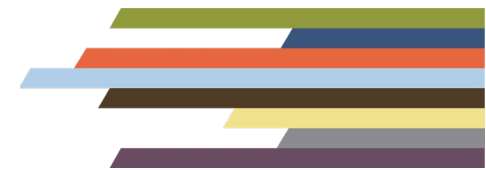
Directions: Check the areas impacted by the law/rule and note strengths and areas for improvement. The final section provides a look at broader impact areas.

✓	Regulatory Domain Strategy	Prevention and Public Health Focused Approach	Strength of Current Approach	Needed Improvement
	Public Health and Safety			
	Underage purchase and use (less than age 21)	Exceptions to underage use (age 21) prohibited or limited.		
	Compliance checks of licensees	Compliance with minor purchase laws monitored on regular basis.		
	Laws for selling/providing to minors laws; laws for over-service and sales to intoxicated persons	Laws and penalties in place for selling or providing to minors, over-service, and sales to intoxicated persons; retailer liability laws.		
	Facility security requirements	Requirements for security, signage, barriers, cameras, recordkeeping, etc.		
	Enforcement authority	Clear enforcement authority and capacity. Equitable enforcement policies.		
	Penalty structure for licensees	Penalty structure includes education requirements, appropriate penalty tiers.		
	Other:			

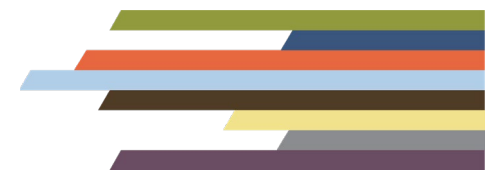


SAMHSA'S NORTHWEST PREVENTION TECHNOLOGY TRANSFER CENTER

✓	Regulatory Domain Strategy	Prevention and Public Health Focused Approach	Strength of Current Approach	Needed Improvement
	Placement/Access			
	Restrictions on public possession and use	Restrictions or limitations on public use; special license or permit, as appropriate. Prohibited in youth-focused areas.		
	Minors' access to retail outlets	Entry to retail outlets, including bars, taverns, and similar locations, is limited to those 21 and older with identification (ID).		
	Days/hours of sale; days/hours/locations of alcohol tastings	Days/hours of sale and tasting opportunities are appropriately limited.		
	Total number, density, and location of licensed locations; limited footprint	Limits on number and density of licensed locations; buffer zones surround youth-frequented areas: outdoor seating limited with barriers in place.		
	In-store access to product	Requirement for off-premises outlets selling alcohol to have a separate access point and check-out stands; restrictions on in-store placement to discourage theft; theft prevention devices on alcohol products; behind the counter or locked storage areas to prevent customer access.		
	Minimum age for employees selling products	Minimum ages of sellers as 21 or require direct supervision by employees 21 or older.		
	Direct shipping (alcohol)	Prohibit. If allowed, stringent requirements for purchase and delivery.		

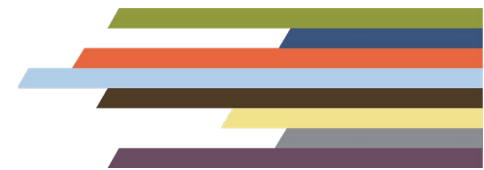


✓	Regulatory Domain	Prevention and Public Health Focused Approach	Strength of Current Approach	Needed Improvement
	Home delivery	Prohibit. If allowed, strict requirements for ordering, delivery personnel, ID checks, record-keeping; security.		
	Home grows (cannabis)	Prohibit. If allowed, strict limits on number of plants and amount of product on hand.		
	Retailer and employee education	Mandatory training for all sellers (on- or off-premises) that includes prevention and public health components.		
	Type of setting (alcohol)	Prohibit or restrict access in youth or family-focused settings (i.e., movie theatres, art/crafts studios, etc.).		
	Internet sales	Prohibit. If allowed, limit access to those 21 and over, closely monitor, and regulate delivery (see direct shipping above).		
	Other:			
	Products/Potency			
	Products that appeal to persons under 21 years of age	Restrictions on types of products, package and label design. Prohibit packaging and labeling that cause product confusion. Prohibit products in easy-to-conceal packaging.		
	High-potency products	Restrict sales of high-potency products.		
	Cannabis packaging and labeling requirements	Require clear indication that product contains cannabis. Specify required label content. Require warning statements. Prohibit products in easy-to-conceal packaging.		



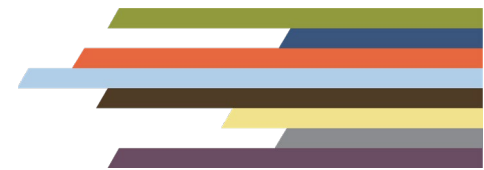
SAMHSA'S NORTHWEST PREVENTION TECHNOLOGY TRANSFER CENTER

✓	Regulatory Domain	Prevention and public health focused approach	Strength of current approach	Needed improvement
	High-potency products	Restrict sales of high-potency products.		
	Cannabis packaging and labeling requirements	Require clear indication that product contains cannabis. Specify required label content. Require warning statements.		
	Health claims	Prohibit all or scientifically unsubstantiated health claims.		
	Cannabis serving and transaction limits	Limits on THC per serving and per product. Limit on amount of THC sold in one transaction; per day sales limits.		
	Alcohol transaction limits	Limit on number of drinks sold per person at one time; prohibit unlimited drinks for set price.		
	Alcohol and tobacco warning signs / statements	Required health warnings on labels, point of sale and store signage.		
	Other:			
	Promotions/Advertising			
	Billboards, signs, and product placement	Limit on size of signs, types of advertising (billboards, sandwich boards, inflatables, etc.) and product placement.		
	Buffer zones	Prohibit advertising with certain distance of youth-centered and sensitive locations (such as treatment centers).		
	Promotional items, giveaways	Prohibit or restrict promotional items, and/or giveaways. If a drink is included as a promotional item as part of service (e.g., at bridal salon) limit amount of alcohol.		
	Special events, tastings	Restrict size and location of special events; limit product availability; monitor for compliance. Limit locations and number of tastings (alcohol), including amount of alcohol per person.		



SAMHSA'S NORTHWEST PREVENTION TECHNOLOGY TRANSFER CENTER

✓	Regulatory Domain	Prevention and public health focused approach	Strength of current approach	Needed improvement
	Mandatory warning signs	Require posting of health-related warnings and minimum ages of purchase at licensed locations.		
	Age restrictions	Set age restrictions for advertising audience.		
	Other:			
	Price/Taxation			
	Tax rates	Maintain or increase tax rates to a level that reduces harms, provides revenue to address costs.		
	Unit price regulation	Maintain minimum pricing; prohibit discount pricing and other practices that reduce cost (e.g., happy hours, coupons, etc.).		
	Other:			



Other Considerations / External Impacts	Strength of current approach	Needed improvement
Impact on social norms		
Potential impact on vulnerable populations		
Social justice and social equity considerations		
Impact on public education, public health, and prevention-focused agencies		
Impact on law enforcement capacity		
Impact on state, local, and tribal public safety efforts		
Potential economic impact		
Impact on local government roles, responsibilities, and costs		

