

# Technical Information

# ***SAMHSA***

Substance Abuse and Mental Health  
Services Administration

This training was developed under the Substance Abuse and Mental Health Services Administration's **Addiction** and **Prevention** Technology Transfer Center task orders.

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**LIVE**

This webinar is now live.



It is being recorded.



Please remain muted.



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# Welcome

## Central East ATTC and PTTC Webinar

# Behavioral Health Services for Criminal Justice-Involved Populations Part 1: Understanding the Unique Needs of Diverse Populations



*The Central East ATTC, MHTTC, and PTTC are housed at the Danya Institute in Silver Spring, MD*

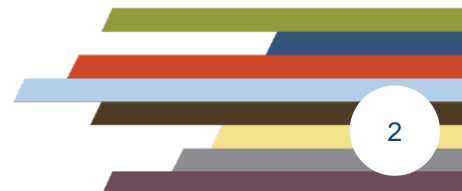
Oscar Morgan  
Executive Director



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Each TTC Network includes 13 centers.



Network Coordinating Office

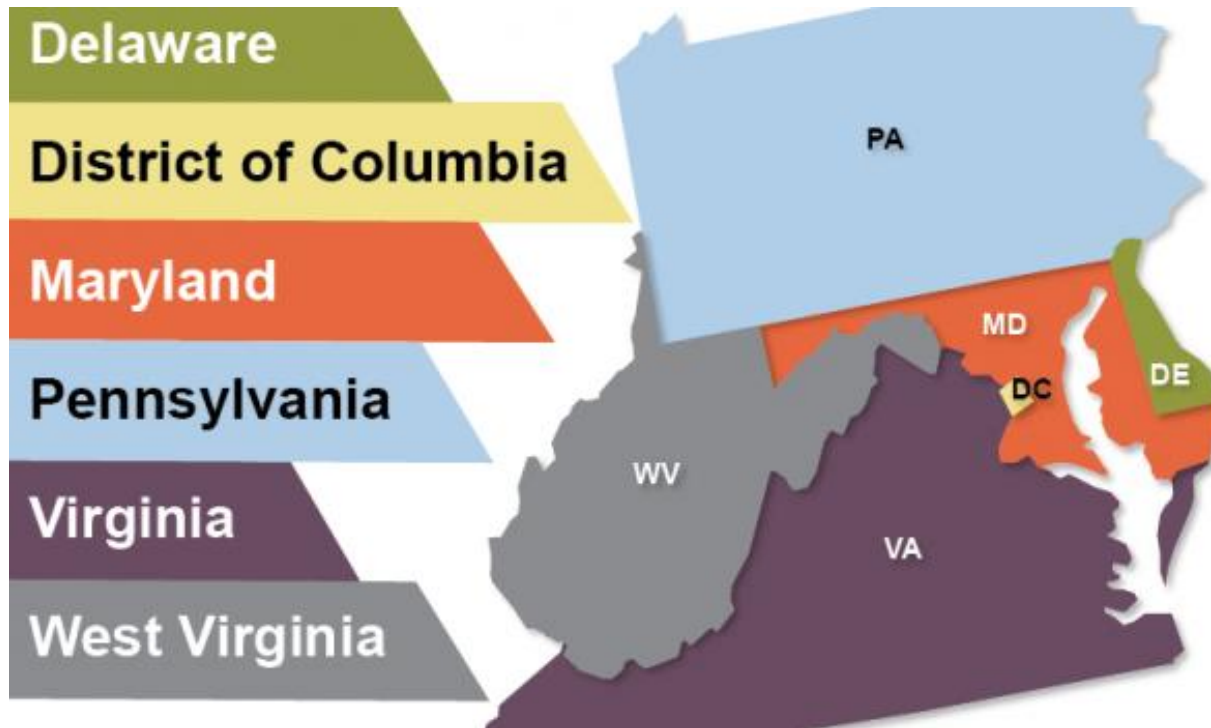
National American Indian and Alaska Native Center

National Hispanic and Latino Center

10 Regional Centers (aligned with HHS regions)

# Central East Region

## HHS REGION 3



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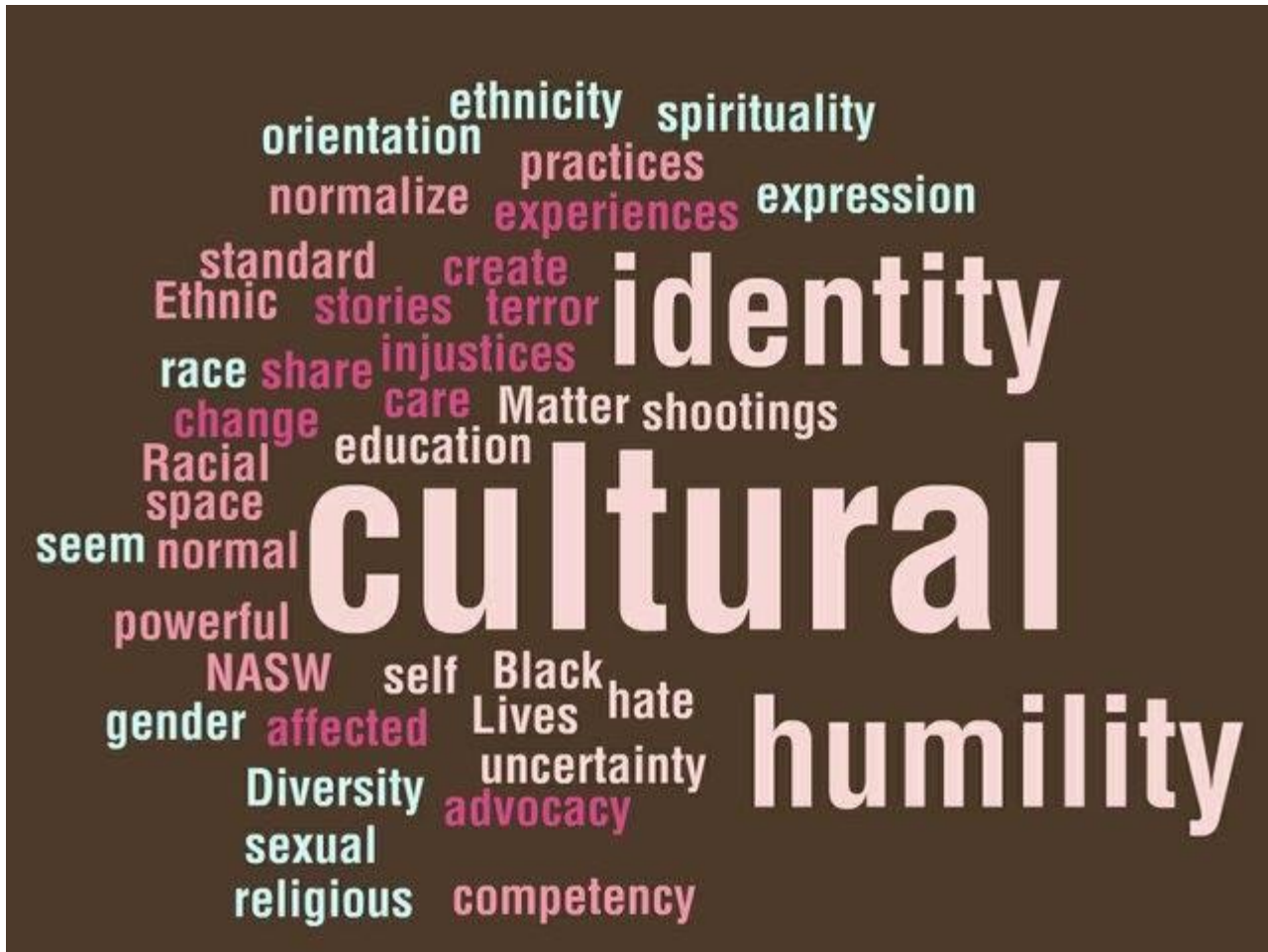
The use of affirming language inspires hope.

**LANGUAGE MATTERS.**

**Words have power.**

**PEOPLE FIRST.**

The PTTC Network uses affirming language to promote the application of evidence-based and culturally informed practices.



# Eligibility

Behavioral health and health care providers, consumers, families, state and local public health systems and other stakeholders

Consistent with  
Regional, State  
and Local  
Needs

No cost

Data Driven

EBPs provided  
by Subject  
Matter Experts



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# Behavioral Health Services for Criminal Justice-Involved Populations Part 1: Understanding the Unique Needs of Diverse Populations

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March 7, 2023





# Presenters



Josh Esrick  
*Speaker*



Lauren Pappacena  
*Speaker*

# Learning Objectives

- Explain the need for behavioral health services among criminal justice-involved populations
- Define the risk-need responsiveness model, describe its use in determining treatment needs, and recognize how it can be adapted to assessing prevention needs
- Identify the intercept points where individuals encounter the justice system and can receive services
- Describe the unique risks associated with justice system involvement



# The Need for Services



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# Why Serve the CJ-Involved Population?

- Many behavioral health professionals may lack experience serving the CJ-involved population
- However, the CJ-involved population includes many diverse groups at varying risk for:
  - Substance Use
  - Overdose
  - Suicide
- Would benefit from prevention services
- Many of these groups also have high rates of behavioral health disorders that would benefit from treatment



# Lack of Access to Services

- 2019 survey of state prisons found that (Scott et al):
  - 53% did not screen for substance use
  - 57% did not provide withdrawal management
  - 61% did not provide MOUD treatment
  - 73% did not provide overdose reversal education at community re-entry
- 2% of federal prisoners eligible for MOUD receive it (Schwartzapfel)
- ~17% of police agencies report having crisis intervention training for officers (Rogers et al)



# Lack of Access to Services, 2

- 40% of community-based service programs for CJ-involved populations do not use EBPs (Reichert & Gleicher)
- 64% of state probation agencies report barriers to clients accessing MOUD (Reichert & Gleicher)
- Data on access to prevention is less comprehensive, but qualitative results suggest many barriers and a lack of access



# Barriers to Services

- Lack of funds among CJ agencies for services
- Lack of CJ agency knowledge of BH service providers
- Lack of BH service providers
- Lack of CJ agency knowledge of the effectiveness of BH services
- Safety/justice priorities weighted heavier than health priorities
- Logistical difficulties providing services



# Who are the CJ-Involved Population?

- Individuals involved within any stage/intercept of the criminal justice system; including people still within the community
- Substance use is extremely high within the CJ-involved population
  - 85% of incarcerated individuals have an active SUD or were incarcerated for a drug-involved crime (NIDA)



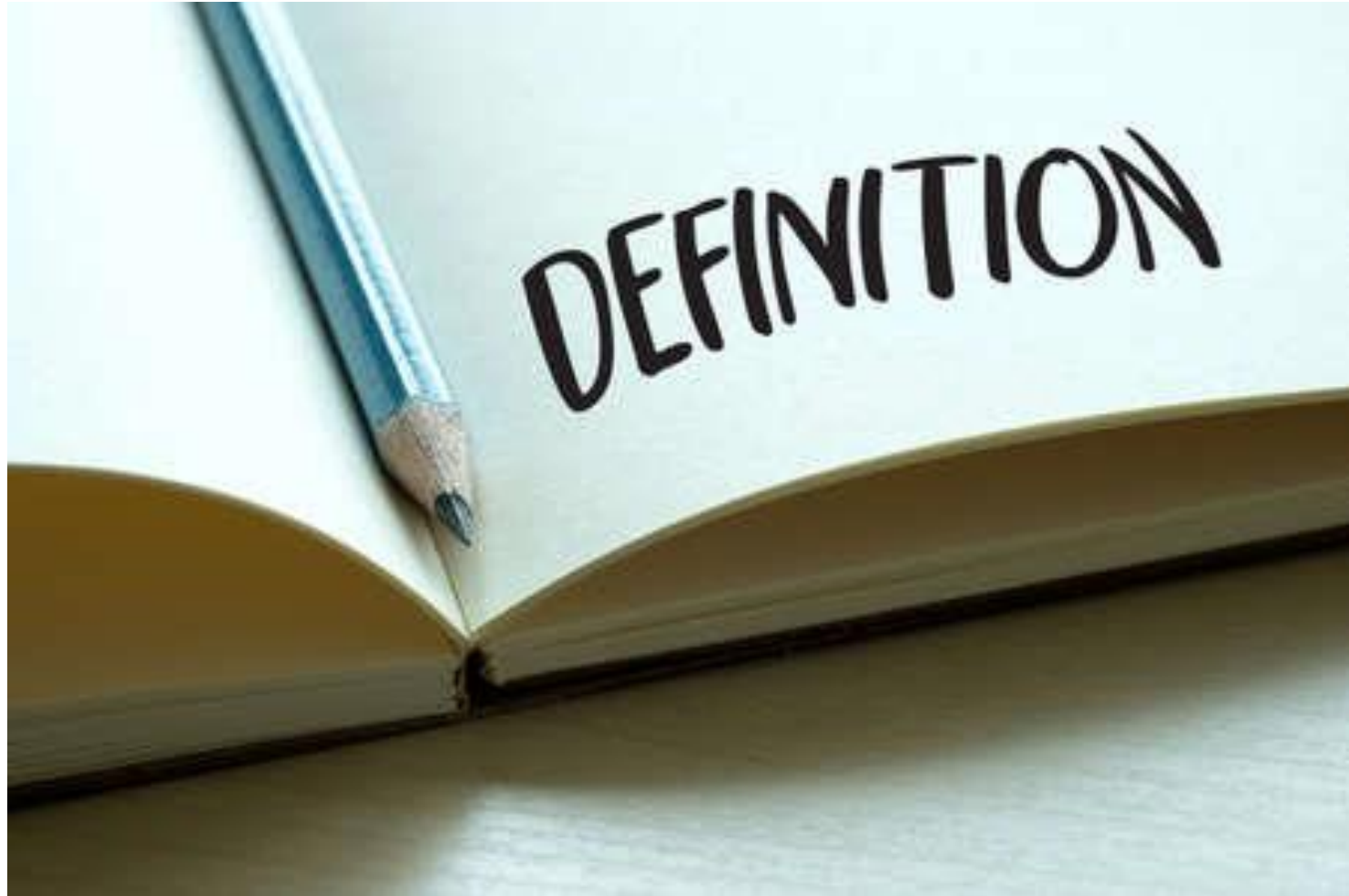


# Who are the CJ-Involved Population?

- Incarcerated individuals in 2021 (BJS):
  - 1.2 million total people (1.05 million in state systems, 150,000 in federal system)
  - 93% male, 7% female
- Incarceration rate per 100,000 people:
  - 901 African Americans, 763 AI/ANs, 434 Hispanics, 181 Whites, 72 Asian Americans
- Complete data of other CJ intercepts is not available



# Further Defining the CJ Population



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# Further Defining the CJ Population

- Many sub-populations, which we can define and organize through:
  - Risk/need responsivity theory and quadrant approach
  - Sequential intercept model
- Let's us understand which groups may benefit from which services!



# Risk/Need Responsivity (RNR)

- RNR triages individuals to treatment programming based on:
  - **Criminogenic risk:** Risk for recidivism or service failure (prognostic risk) AND
  - **Criminogenic need:** Disorders/conditions that can be responsible for criminal behavior



# Risk/Need Responsivity (RNR)

- What it is NOT....
  - Criminogenic risk is NOT risk of violence or dangerousness
  - Criminogenic need is NOT risk factors for violence or dangerousness or risk factors that are unchangeable or historical in nature



# Why Does (RNR) Matter?

- Research supports that the best outcomes are achieved when:
  - Intensity of treatment services is matched to risk of recidivism or service failure (criminogenic risk)
  - Treatment services focus on disorders/conditions that are responsible for an individual's criminal behavior (criminogenic needs)



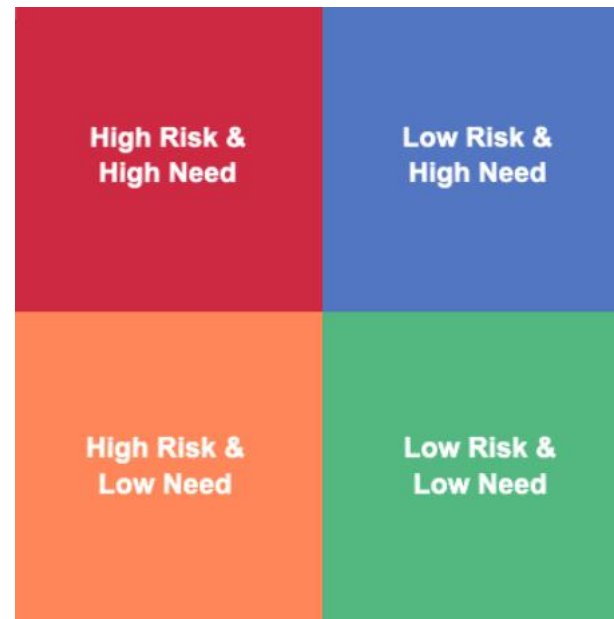
# Why Does RNR Matter?

- Negative outcomes may result from misaligning individuals to inappropriate services, such as:
  - Misallocated resources
  - Negative peer influences
  - Over-treating
  - Under-treating



# Quadrant Model

- Based on RNR
- Integrates substance use and criminal behaviors to identify treatment responses
  - But can also be applied to prevention





# Quadrant Model

- High risk and high need
  - Have serious risk factors for recidivism or service failure, have severe SUD/MHD, and other pressing social service needs
- High risk and low need
  - Have serious risk factors for recidivism or service failure, do not have severe SUD/MHD, may have other pressing social service needs



# Quadrant Model

- Low risk and high need
  - Do not have serious risk factors for recidivism or service failure, but have severe SUD/MHD and other pressing social service needs
- Low risk and low need
  - Do not have serious risk factors for recidivism or service failure and do NOT have severe SUD/MHD or other pressing social service needs



# What About Prevention?

- We can adapt the quadrant model for...
  - **Universal Prevention:** Providing less intensive prevention services to the large low risk/low need population
  - **Selective Prevention:** Serving at-risk (for use) groups among the higher risk/higher need populations
  - **Indicated Prevention:** Preventing heavy or chronic use from developing



# Sequential Intercept Model

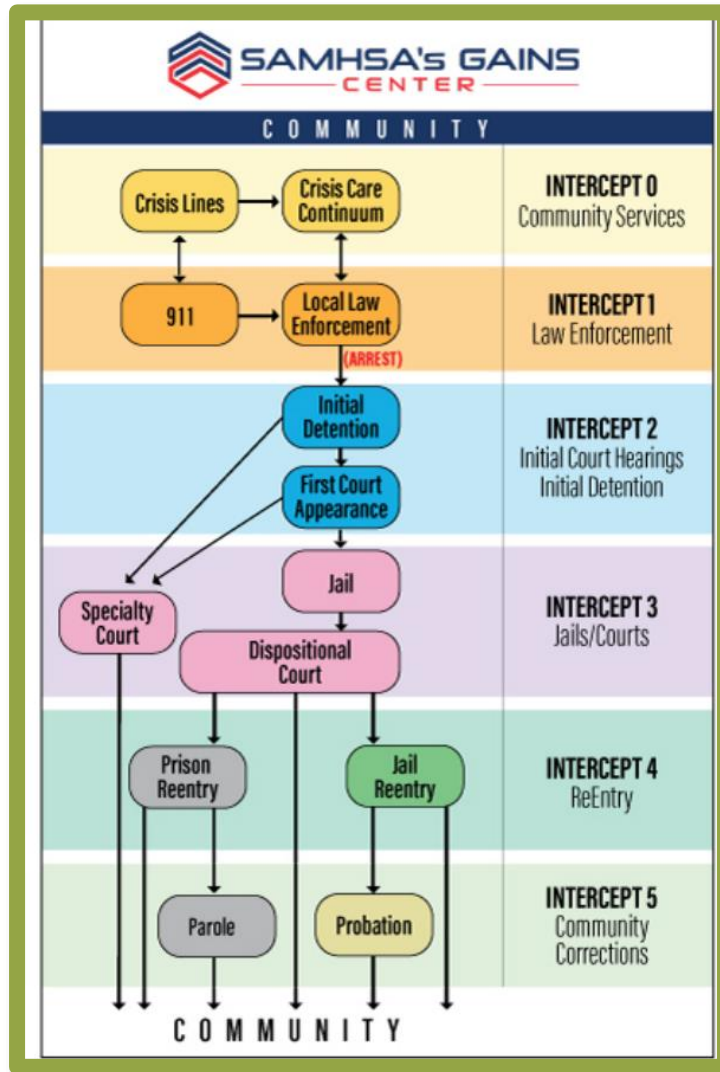
- Outlines “intercepts” where individuals may encounter the justice system
- Can be used as a community strategic planning tool to understand how individuals navigate through the justice system
- Can be used to assess available resources and gaps in services



# Sequential Intercept Model



# Sequential Intercept Model



# Combining Models: The ARK

<https://ark.nadcp.org/>

The screenshot displays the ARK website interface. On the left, a 3D diagram illustrates the 'Stages' of care, divided into 'High Risk & High Need' (top, red) and 'Low Need' (bottom, orange). The stages are: Pre-Arrest, Pretrial, Community Corrections, Incarceration, and Reentry. The 'High Risk & High Need' section is further divided into 'High Risk & High Need' (red) and 'Low Risk & High Need' (blue). The 'Low Need' section is divided into 'High Risk & Low Need' (orange) and 'Low Risk & Low Need' (green). Navigation buttons at the bottom include '<< High Risk (Left)', 'Home', and 'Low Risk (Right) >>'. On the right, the page title is 'High Risk & High Need / Community Corrections'. Below this is the heading 'Evidence Based Program' and 'Drug Court'. The 'Description' section states: 'Drug courts are special criminal court dockets or calendars designed to treat individuals suffering from a moderate to severe substance use disorder that is causing or exacerbating their involvement in crime. Eligible participants are charged with a drug-related offense such as possession or sale of a controlled substance, or another offense caused or influenced by drug use, such as theft or forgery to support a drug addiction.' A paragraph below describes the role of the drug court judge as the leader of a multidisciplinary team. A final paragraph notes that participants must complete a regimen of substance use disorder treatment and other services to graduate successfully.

# Program Examples by Intercept

- Pre-Arrest
  - Community oriented policing
  - Crisis intervention teams
- Pretrial
  - Pre-arraignment screening units
  - Pre-trial diversion programs
- Community Corrections
  - Juvenile/adult drug courts
  - Administrative probation





# Program Examples by Intercept

- Incarceration
  - Peer programs for self-harm and suicide prevention
  - MAT/MOUD programming
  - Prison based CBT programming
- Reentry
  - Overdose education and naloxone access
  - Recovery management checkups



# Behavioral health professionals should consider...

- The different intervention settings and individual obligations within intercepts
- Which CJ organizations and staff could collaborate within intercepts
- Staff willingness/ability to serve CJ involved populations
- How interventions/strategies can address increased risks associated with certain intercepts
- Availability & organizational capacity to implement EBPs



# Application to the SPF

- RNR principles and the Quadrant Model can be applied throughout SPF, but particularly:
- Step 3 through assessing...
  - *Importance*: Risk factors and exploring how they may contribute to priority problems in the community
  - *Changeability*: Available resources to address the risk/need combination and if a program/practice exists to address that combination



# Application to the SPF

- Step 4 through assessing...
  - *Fidelity*: Degree to which a non-justice specific program/practice can be delivered as intended for a CJ population
  - *Adaptation*: How much and in what ways a program/practice can be adapted for working with a CJ population or within a given intercept



# The Unique Risks of CJ-Involvement



# What Influences Risk of Use?

- Many social determinants of health can influence behavioral health outcomes
  - As well as risk of justice-involvement in the first place
- Any of the wide range of risk and protective factors that prevention professionals are familiar with from other work

Social Determinants of Health



# Impacts of Risks

- CJ-involvement adversely effects social, economic, mental, and physical well-being, including (Esponsito et al):
  - Employment
  - Housing
  - Social standing
  - Marital and parental roles
  - Chronic stress
  - Substance use and its consequences



# Risk of Overdose

- CJ-involved population is at substantial risk for an overdose after re-entry
  - 12.7x at greater risk than the general population in the first 2 weeks (Waddell et al)
- Overdose risk is also elevated among individuals under community supervision (Binswanger et al)
- Overdose during incarceration is relatively rare; but increased by ~400% from 2014 to 2019 in state prisons (BJS)





# Overdose Risk Factors During Re-entry (Grella et al)

- Relapse due to lack of social supports, medical comorbidities, or inadequate economic support
- Pervasive exposure to substances in new living environments
- Intentionality related to stressors
- Unintentionality related to decreased tolerance
- Lack of access to protective factors
- Lack of access to or education on naloxone



# Suicide Risk

- Adults at the pretrial intercept are at a substantially increased risk of suicide (Bryson et al)
  - Over one third of deaths in jails are due to suicide (BJS)
  - Evidence suggests being arrested is a unique stressor
- Suicide rate during incarceration has significantly increased since 2013 (BJS)
  - Almost double the national rate in 2019
  - May be a correlation between longer sentences and increased risk
- Other CJ intercepts not associated with increased risk (Bryson et al)



# Conclusion

- CJ-involved individuals form a variety of diverse populations
- Important to understand the divergent needs of these various populations to better target services
- Services are necessary due to the high and unique risks these populations face
- We'll talk more about what services can be provided in Part 2 of this series





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