



## **Ethics in Prevention RESOURCES**

### **INTRODUCTION TO ETHICS**

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- **Ethics & Compliance Initiative (ECI)**

<http://www.ethics.org/>

ECI is a private, nonprofit organization devoted to independent research and the advancement of high ethical practices in public and private institutions. Available resources include the EthicsToolkit, which offers tips and guidelines for writing codes of ethics, an ethics glossary, values definitions, and short articles on the practice of organizational ethic.

- **International Certification & Reciprocity Consortium (IC&RC)**

<http://internationalcredentialing.org/>

IC&RC is the largest credentialing organization in addiction-related health care, setting the international standards for competency-based certification programs. Resources related to prevention and ethics include the Minimum Standards for the Prevention Specialist Credential.

### **NON-DISCRIMINATION**

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#### **Cultural Competence**

- **Community Tool Box**

<http://ctb.ku.edu/en/>

The Community Tool Box, from the Work Group for Community Health and Development at the University of Kansas, provides many resources to support cultural competence in the fields of risk prevention and community health promotion, including *Adapting Community Interventions for Different Cultures and Communities* and *Cultural Competence in a Multi-Cultural World*.

- **Culturally Informed Programs to Reduce Substance Misuse and Promote Mental Health in American Indian and Alaska Native Populations**

<https://preventionsolutions.edc.org/services/resources/culturally-informed-programs-reduce-substance-misuse-and-promote-mental-health>

This tool is designed to inform strategic prevention planning by helping tribal communities identify and select culturally-informed programs that have been shown or have the potential to reduce substance misuse and promote well-being.

- **Cultural and Linguistic Competence (CLC) Toolkit**

<http://www.promoteprevent.org/cultural-and-linguistic-competency-clc-toolkit>

CLC is based on the premise of respect for individuals and the inclusion of multiple perspectives. This toolkit from the National Center for Mental Health Promotion and Youth Violence Prevention provides prevention practitioners with benchmarks and additional resources to support effective CLC implementation.

- **National Center for Cultural Competence (NCCC)**

<http://nccc.georgetown.edu/>

To promote equity and address growing diversity and persistent disparities, NCCC at Georgetown University provides resources to help practitioners design, implement, and evaluate culturally and linguistically competent service delivery systems. Available resources—specifically designed for providers and practitioners—include publications, self-assessments, and multimedia/distance learning opportunities.

- Office of Minority Health**  
<http://minorityhealth.hhs.gov/>  
 The U.S. Department of Health and Human Services' Office of Minority Health is dedicated to improving the health of racial and ethnic minority populations through the development of health policies and programs to help eliminate disparities. This site provides links to numerous related guides, training tools, policies, and laws as well as the [\*National Standards on Culturally and Linguistically Appropriate Services\*](#).
- Positive Approaches to Preventing Substance Use and Misuse among Boys and Young Men of Color: Programs and Strategies At-A-Glance**  
<https://preventionsolutions.edc.org/services/resources/positive-approaches-preventing-substance-use-and-misuse-among-boys-and-young-men>  
 This practice-support tool provides summaries of interventions that help young people develop abilities and attitudes necessary to succeed and thrive.
- Substance Abuse and Mental Health Services Administration (SAMHSA)**  
<http://www.samhsa.gov/> and <http://store.samhsa.gov/>  
 SAMHSA promotes culturally competent prevention services that reduce the impact of substance abuse and mental illness on America's communities. Resources for specific population groups include [\*Drug Abuse among Hispanics: A Brief Evidence-Based Guide for Providers\*](#) and [\*Culture Card: A Guide to Build Cultural Awareness - American Indian and Alaska Native\*](#).

### Anti-Discrimination

- Federal Offices for Civil Rights**  
 Many federal agencies promote equity and enforce anti-discrimination laws and regulations through their Offices for Civil Rights, including the [U.S. Department of Education](#) and the [U.S. Department of Health and Human Services](#).
- U.S. Equal Employment Opportunity Commission (EEOC)**  
<http://www.eeoc.gov/laws/index.cfm>  
 EEOC is responsible for enforcing federal anti-discrimination laws. This site provides an overview of federal anti-discrimination laws, regulations, and guidance as well as fact sheets, Q&As, best practices, and other information organized by type of discrimination (e.g., age, disability).

## COMPETENCE

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### Best Practices in Prevention

- Applying the Strategic Prevention Framework and HHS Disparity Impact Measurement Framework to Address Behavioral Health Disparities**  
<https://preventionsolutions.edc.org/services/resources/applying-strategic-prevention-framework-and-hhs-disparity-impact-measurement>  
 This infographic explores ways to address behavioral health disparities at each step of the Strategic Prevention Framework (SPF) document.
- Identifying and Selecting Evidence-Based Interventions: Revised Guidance Document for the Strategic Prevention Framework State Incentive Grant Program**  
<http://store.samhsa.gov/product/Identifying-and-Selecting-Evidence-Based-Interventions-for-Substance-Abuse-Prevention/SMA09-4205>  
 Developed by SAMHSA, this guidance document (2009) describes the Strategic Prevention Framework (SPF) and promotes the implementation of evidence-based prevention practices in communities across the country.
- OJJDP Model Programs Guide**  
<http://www.ojjdp.gov/mpg/>  
 This guide, from the Office of Juvenile Justice and Delinquency Prevention (OJJDP), is designed to help practitioners and communities implement evidence-based prevention and intervention programs.

## Continuing Education in Prevention

- **Prevention Solutions@EDC Online Training Portal**

<https://psonline.edc.org/>

The Prevention Solutions@EDC online training portal contains a collection of web-based training materials for substance abuse prevention and other practitioners interested in planning, implementing, and evaluating effective prevention efforts.

## INTEGRITY

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- **Association of American Publishers (AAP)**

<http://publishers.org>

AAP is the trade association for U.S. book publishers, providing advocacy and communications on behalf of the publishing industry and representing its priorities on policy and regulatory issues. This site provides information on the protection of intellectual property rights, worldwide copyright enforcement, and digital and new technology issues.

- **Copyright Advisory Office from Columbia Universities Libraries/Information Services**

<https://copyright.columbia.edu/basics/permissions-and-licensing.html>

This site provides sample letters for seeking or granting permission to use different materials (e.g., publications, videos) in various situations (e.g., reprinting an author's work in a new work). The Copyright Advisory Office emphasizes that these letters and release forms are intended as models, not form letters, since each such document should account for specific situations and circumstances.

- **Scholarly Communications Toolkit from Duke University Libraries**

<https://scholarworks.duke.edu/copyright-advice/scholarly-communications-toolkit/>

This site provides sample letters for seeking or granting permission in various situations. The Scholarly Communications Toolkit emphasizes that these letters and release forms are intended as models, not form letters since each such document should account for specific situations and circumstances.

- **United States Copyright Office**

<http://www.copyright.gov>

The United States Copyright Office promotes creativity by administering and sustaining an effective national copyright system. This Office provides expert and impartial leadership and advice on copyright law and policy to Congress, federal agencies, the courts, and the general public.

## NATURE OF SERVICES

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### Involving the Community in Prevention

- **CADCA: Building Drug-Free Communities**

<http://www.cadca.org>

Since 1992, Community Anti-Drug Coalitions of America (CADCA) has been training local grassroots groups, known as community anti-drug coalitions, in effective community problem-solving strategies—teaching them how to assess their local substance abuse-related problems and develop a comprehensive plan to address them.

- **Community Tool Box**

<http://ctb.ku.edu/en/>

The Community Tool Box, from the Work Group for Community Health and Development at the University of Kansas, provides many resources related to promoting community involvement in prevention efforts, including [\*Encouraging Involvement in Community Work\*](#) and [\*Promoting Interest in Community Issues\*](#).

- **Prevention Institute**

<http://www.preventioninstitute.org/tools>

Prevention Institute has developed a broad range of practical, easy-to-use tools to guide practitioners, advocates, and policymakers in planning health strategies and in contributing to safer, healthier, and more equitable communities. Available resources include [Building Cross-sector Collaboration](#) and [Developing Effective Coalitions: An 8-step Guide](#).

### Protecting Human Subjects in Research

- **Ethical Principles and Guidelines for the Protection of Human Subjects of Research (The Belmont Report)**

<http://www.hhs.gov/ohrp/regulations-and-policy/belmont-report/index.html>

The Belmont Report from the National Commission for the Protection of Human Subjects of Biomedical and Behavioral Research: 1) summarizes the basic ethical principles that should underlie the conduct of biomedical and behavioral research involving human subjects; and 2) describes guidelines for assuring that such research is conducted in accordance with those principles.

- **Federal Offices for the Protection of Human Subjects in Research**

Many federal agencies have established offices to help protect human subjects in research, including the [U.S. Department of Education](#) and the [U.S. Department of Health and Human Services](#).

### Conducting Background Checks

- **Current Attorneys General**

<http://www.naag.org/current-attorneys-general.php>

This section of the National Association of Attorneys General's website provides contact information, including website links, to the current Attorney General in each state. Visit the website of your state's Attorney General for information about conducting background checks in your area.

- **Fact Sheet 16: Employment Background Checks - A Jobseeker's Guide**

<https://www.privacyrights.org/employment-background-checks-jobseekers-guide>

This guide from the Privacy Rights Clearinghouse explains the why and how of background checks, describing what can be covered in a background report, individual rights under the Fair Credit Reporting Act, and what individuals can do to prepare for a background check.

- **Federal Laws on Background Checks**

Several federal laws govern background checks on potential employees and volunteers, including the following: [Fair Credit Reporting Act](#), [National Child Protection Act of 1993](#), [Violent Crime Control and Law Enforcement Act of 1994](#), and [Volunteers for Children Act of 1998](#).

- **Preventing Child Sexual Abuse Within Youth-serving Organizations: Getting Started on Policies and Procedures**

<http://www.cdc.gov/violenceprevention/pdf/PreventingChildSexualAbuse-a.pdf>

This report (2007) from the Centers for Disease Control and Prevention provides information for organizations on six key components of child sexual abuse prevention, including screening employees and volunteers. It also offers suggestions for addressing challenges to developing and implementing a strategy to prevent child sexual abuse, tools to help organizations move forward, and lists of relevant publications and organizations.

- **Staff Screening Tool Kit**

<http://www.nationalservice.gov/sites/default/files/resource/r3300-staff-screening-tool-kit.pdf>

This resource from The Nonprofit Risk Management Center is the latest edition of *Staff Screening Tool Kit: Keeping the Bad Apples Out of Your Organization*. This edition includes sample screening tools, information to address the increased use of third-party vendors for performing criminal history record checks, and contact information for each state's criminal history record repository and sex offender registry.

## Reporting Child Abuse and Neglect

- **Child Welfare Information Gateway**

<https://www.childwelfare.gov/topics/responding/>

This section of the Child Welfare Information Gateway, from the U.S. Department of Health and Human Services, provides information to help protect children's safety, support families, and reduce the risk of future harm. Available resources include [\*Toll-free Numbers for Agencies Designated to Receive and Investigate Suspected Child Abuse and Neglect\*](#).

- **State Laws on Reporting and Responding to Child Abuse and Neglect**

<https://www.childwelfare.gov/topics/systemwide/laws-policies/can/reporting/>

These resources offer information about State laws related to reporting and responding to child abuse and neglect.

## CONFIDENTIALITY

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### Federal Law 42 CFR Part 2

- **Frequently Asked Questions: Applying the Substance Abuse Confidentiality Regulations to Health Information Exchange (HIE)**

<https://www.samhsa.gov/sites/default/files/faqs-applying-confidentiality-regulations-to-hie.pdf>

Developed by SAMHSA, this document (2011, revised) provides answers to frequently asked questions about Federal Law 42CFR Part 2 (Substance Abuse Confidentiality Regulations). These answers are for information purposes only and are not intended as legal advice. Specific questions regarding compliance with federal law should be referred to your legal counsel. State laws may also apply.

- **Confidentiality of Patient Records for Alcohol and Other Drug Treatment, Technical Assistance Publication (TAP) Series 13**

<http://lib.adai.washington.edu/clearinghouse/downloads/TAP-13-Confidentiality-of-Patient-Records-for-Alcohol-and-Other-Drug-Treatment-103.pdf>

Developed by SAMHSA, this technical assistance manual (1994) provides an overview of federal alcohol and other drug confidentiality law and regulations, information about options for successful communication and collaboration pertaining to the confidentiality of alcohol and other drug treatment records and communicable disease, and sample forms for releasing confidential information and establishing qualified service organization agreements.

- **Title 42, Part 2: Confidentiality of Alcohol and Drug Abuse Patient Records, U.S. Government Printing Office**

[http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=02b3d31742318b503b8d4ba0111d0e35&tpl=/ecfrbrowse/Title42/42cfr2\\_main\\_02.tpl](http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=02b3d31742318b503b8d4ba0111d0e35&tpl=/ecfrbrowse/Title42/42cfr2_main_02.tpl)

This is federal law 42 CFR (Code of Federal Regulations) Part 2: Substance Abuse Confidentiality Regulations. It is listed online in the Electronic Code of Federal Regulations, which is updated regularly.

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### Other Confidentiality Laws and Regulations

- **Family Educational Rights and Privacy Act**

<http://www2.ed.gov/policy/gen/guid/fpco/ferpa/index.html>

The Family Educational Rights and Privacy Act (FERPA) is a federal law that protects the privacy of student education records. The law applies to all schools that receive funds from the U.S. Department of Education.

- **Health Insurance Portability and Accountability Act (HIPAA)**

<http://www.hhs.gov/hipaa/index.html>

The Health Insurance Portability and Accountability Act (HIPAA) provides federal protections for personal health information and gives patients an array of rights with respect to that information. At the same time, HIPAA is balanced to permit the disclosure of personal health information needed for patient care and other important purposes.

- **Laws and Regulations about Privacy and Confidentiality**

<http://community.amstat.org/cpc/lawsregulations>

This section of the American Statistical Association's website provides links to key federal laws, acts, and policies relating to confidentiality and privacy protections as they affect the use of data.

- **State Confidentiality Laws and Regulations**

Contact your state behavioral health services agency for information about state laws. You can also access [privacy laws](#) by state from the Electronic Privacy Information Center as well as a [state statutes search](#) from the Child Welfare Information Gateway.

## ETHICAL OBLIGATIONS FOR COMMUNITY AND SOCIETY

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### Advocacy and Lobbying

- **Community Tool Box**

<http://ctb.ku.edu/en/>

The Community Tool Box, from the Work Group for Community Health and Development at the University of Kansas, provides many resources related to prevention advocacy efforts, including [Principles of Advocacy](#) and [Media Advocacy](#).

- **Federal and State Advocacy Toolkits**

<http://www.asam.org/advocacy/toolkits>

This section of the American Society of Addiction Medicine's website provides sample letters to Governors and Congress, a sample local op-ed piece, links to other useful websites, and more.

- **IRS Political and Lobbying Activities**

<https://www.irs.gov/charities-non-profits/charitable-organizations/political-and-lobbying-activities>

This section of the Internal Revenue Service's website distinguishes between political activities and lobbying activities.

### Wellness

- **Substance Abuse and Mental Health Services Administration's Wellness Initiative**

<https://store.samhsa.gov/product/SAMHSA-s-Wellness-Initiative-Wellness-Community-Power-Point-Presentation/sma16-4955>

Since 2007, SAMHSA has promoted the improved wellness of people with behavioral health problems by engaging, educating, and training providers, consumers, and policy-makers. SAMHSA's Wellness efforts are guided by its partners and a multidisciplinary Steering Committee.

- **Wellness in Eight Dimensions**

[https://www.center4healthandsdc.org/uploads/7/1/1/4/71142589/wellness\\_in\\_8\\_dimensions\\_booklet\\_with\\_daily\\_plan.pdf](https://www.center4healthandsdc.org/uploads/7/1/1/4/71142589/wellness_in_8_dimensions_booklet_with_daily_plan.pdf)

This guide (2012, January) from the Institute for Wellness and Recovery Initiatives encourages and enables users to record strengths, as well as areas of improvement, in each of the eight dimensions of wellness.

## ETHICAL DECISION-MAKING PROCESS

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- **Ethical Decision-Making Model**

<http://www.centerforethicalpractice.org/publications/models-mary-alice-fisher-phd/ethical-decision-making-model/>

The Center for Ethical Practice, Inc. provides continuing education workshops and home study materials for mental health professionals, including an ethical decision-making model similar to the process presented in this course.



## NATIONAL REGISTRIES OF EVIDENCE-BASED PRACTICES & PROGRAMS

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- **Department of Education What Works Clearinghouse**  
<https://ies.ed.gov/ncee/wwc/>
- **Office of Juvenile Justice and Delinquency Prevention Model Programs Guide**  
<https://www.ojjdp.gov/mpg/Topic>
- **Child Trends – What Works?**  
<https://www.childtrends.org/what-works>
- **Crime Solutions**  
<https://crimesolutions.gov/Programs.aspx>
- **Blueprints for Healthy Youth Development**  
<https://www.blueprintsprograms.org/>
- **California Evidence-based Clearinghouse**  
<https://www.cebc4cw.org/>
- **SAMHSA’s Evidence Based Programs Resource Center**  
<https://www.samhsa.gov/ebp-resource-center>
- **Collaborative for Academic, Social and Emotional Learning 2013**  
<https://casel.org/wp-content/uploads/2016/01/2013-casel-guide.pdf>
- **Suicide Prevention Research Center**  
<https://www.samhsa.gov/suicide-prevention-resource-center-sprc>
- **Youth.gov**  
<https://www.youth.gov/evidence-innovation>
- **Social Programs That Work**  
<https://evidencebasedprograms.org/about-us/>
- **Guide for Selecting an EBI**  
<http://secondaryguide.casel.org/selecting-an-SEL-Program.html#step4>